

# Integrality Gaps and Approximation Algorithms for Dispersers and Bipartite Expanders

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# Motivation

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Vertex expansion in bipartite graphs:

$$G = ([N], [M], E)$$

Where maximal degree is

- $\mathbf{D}$  for vertices in  $[N]$  (*left degree*)
- $\mathbf{d}$  for vertices in  $[M]$  (*right degree*)

Interested in the size of neighbor sets:

- If  $S \subseteq [N]$  or  $S \subseteq [M]$ , then

$$\Gamma(S) = \{j \mid \exists i \in S. (i, j) \in E\}$$

# Definitions

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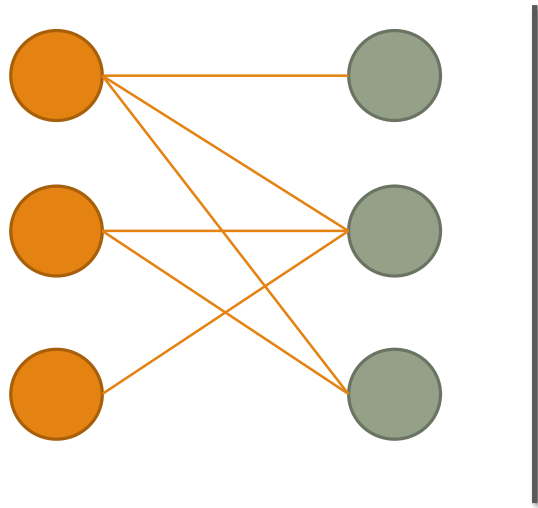
A bipartite graph  $G = ([N], [M], E)$  is a

1. **(k,s)-dispenser** if for any subset  $S \subseteq [N]$  of size  $k$ ,  
$$|\Gamma(S)| \geq s$$

# Example: Dispenser

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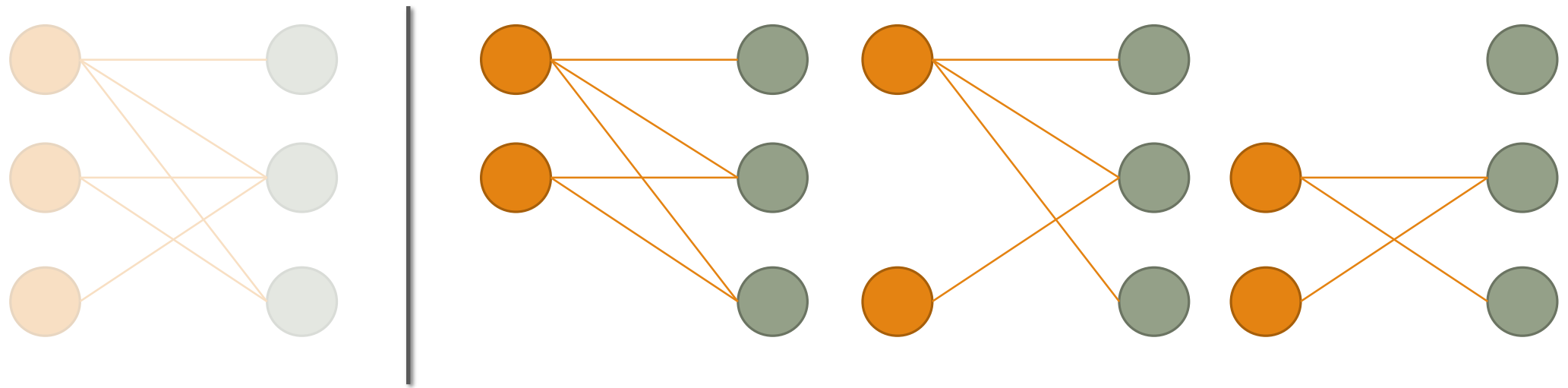
The graph below is a  $(2,2)$ -dispenser. *(Also a  $(3,3)$  and  $(1,1)$ -dispenser)*



# Example: Dispenser

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The graph below is a (2,2)-dispenser



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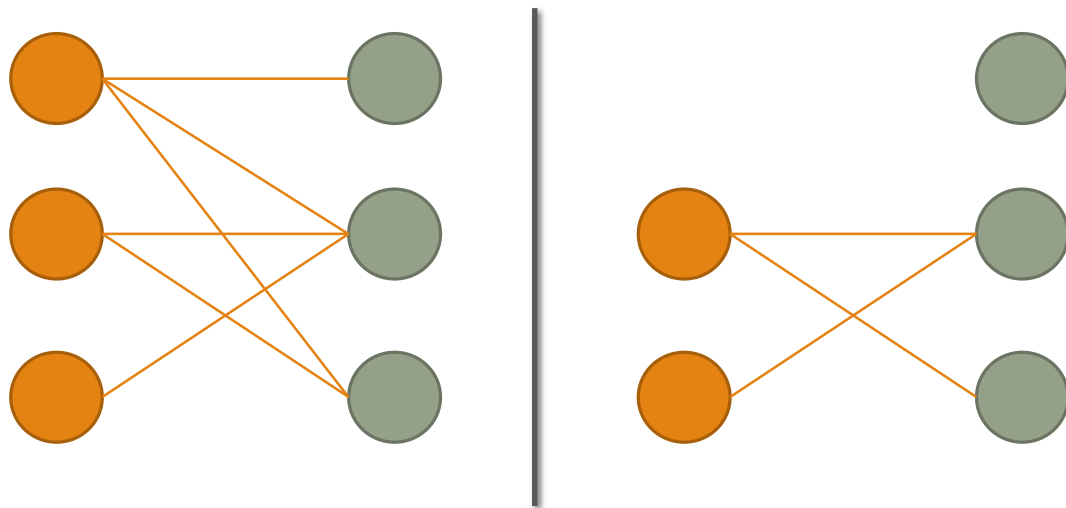
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3. **( $\leq K$ ,a)-expander** if for all  $k \leq K$ ,  $G$  is a  $(k,a)$ -expander

# Example: Expander

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The graph below is a  $(2,1)$ -expander (Also a  $(\leq 2,1)$ -expander)





# Definitions

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It is useful to use parameters  
 $\rho, \delta, \epsilon$  in place of  $k, s, a$

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1.  **$(\rho, s)$ -disperser** if for any subset  $S \subseteq [N]$  of size  $k$ ,

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$$k = \rho N, s = (1 - \delta)M$$

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$$k = \rho N, a = (1 - \epsilon)D$$

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# Background & Applications

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## Dispersers

- Non-trivial derandomization results

*MAX-Clique, deterministic amplification, oblivious routing*

## Expanders

- Studying pseudorandomness

*expander codes and randomness extractors*

## Random graphs make good dispersers and expanders

*W.h.p, for sufficiently large  $N$  and left degree  $D = \Theta_{\alpha,\delta}(\log N)$ ,  
a random bipartite graph  $G = ([N], [M], E)$  is a  $(N^\alpha, (1 - \delta)M)$ -disperser.*

# Paper Overview

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1. SDP relaxation for vertex expansion
2. Proof of limits of the Lasserre hierarchy for
  - Distinguishing certain classes of dispersers and expanders
3. A poly-time approximation algorithm for finding a  $\rho N$  sized subset with the smallest neighbor set
4. Hardness result based on *SSE* for the *disperser problem*

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4. Hardness result based on *SSE* for the *disperser problem*

*Generally, the proofs assume that the graph is either  $d$ -regular (right) or  $D$ -regular (left) depending on the proof. There is the assumption that  $M$  or  $N$  are sufficiently large so that we can argue that the graphs are good dispersers or expanders.*

# Integer Program for Vertex Expansion

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Specifically for  $\rho N$  subsets of  $[N]$

- Let  $x_i$  indicate inclusion/exclusion

$$\min \sum_{j=1}^M \mathbb{1}_{i \in \Gamma(j)} x_i$$

subject to

$$\begin{aligned} \sum_{i=1}^N x_i &\geq \rho N \\ \forall i \in [N]. x_i &\in \{0,1\} \end{aligned}$$

- $\mathbb{1}_{i \in \Gamma(j)} x_i$  is a constraint from  $[M]$ , the goal is to minimize the number of constraints satisfied.

# Integer Program for Vertex Expansion

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The objective becomes a CSP.

$$\min \sum_{j=1}^M \sum_{i \in \Gamma(j)} x_i = \min \sum_{j=1}^M 1 - 1_{\wedge i \in \Gamma(j), x_i=0} = M + \max \sum_{j=1}^M 1_{\wedge i \in \Gamma(j), x_i=0}$$

Highlights:

- Apply  $\Omega(N)$  rounds of Lasserre
- The author derives an upper and lower bound on vertex expansion when  $\rho = 1 - 1/q$  where  $q$  is the prime order of a finite field  $F_q$  (List-CSP).
- Generalizes the bounds for any  $\rho$ . [Solving several CSP and SDPs.]



# Integrality Gap for the Disperser Problem

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## Theorem 1.1.

For  $\alpha \in (0,1)$  and any  $\delta \in (0,1)$ , the  $N^{\Omega(n)}$ -level Lasserre hierarchy cannot distinguish whether  $G$ , a random bipartite graph with left degree  $D = O(\log n)$

1.  $G$  is an  $(N^\alpha, (1 - \delta)M)$ -disperser
2.  $G$  is not an  $(N^{1-\alpha}, \delta M)$ -disperser

# Integrality Gap for the Dispenser Problem

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## Theorem 1.1.

For  $\alpha \in (0,1)$  and any  $\delta \in (0,1)$ , the  $N^{\Omega(n)}$ -level Lasserre hierarchy cannot distinguish whether (left)  $G$  is a  $(N^\alpha, (1-\delta)M)$ -dispenser or (right)  $G$  is not a  $(N^{1-\alpha}, \delta M)$ -dispenser, where  $D = O(\log n)$ .

True w.h.p for a random graph

1.  $G$  is an  $(N^\alpha, (1-\delta)M)$ -dispenser
2.  $G$  is not an  $(N^{1-\alpha}, \delta M)$ -dispenser

SDP objective after  $\Omega(N)$  levels of Lasserre for obtaining  $\delta M$  distinct neighbors is at least  $N^{1-\alpha}$

# Integrality Gap for the Disperser Problem

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## Theorem 1.2.

For any  $\rho > 0$  there exist infinitely many  $d$  such that the  $\Omega(N)$ -level Lasserre hierarchy cannot distinguish, for a random bipartite graph  $G$  with right degree  $d$ , whether

1.  $G$  is an  $(pN, (1 - (1 - \rho)^d)M)$ -disperser
2.  $G$  is not an  $(pN, (1 - C_0 \cdot \frac{1-\rho}{\rho d+1-\rho}))$ -disperser for an universal constant  $C_0 > 0.1$

# Integrality Gap for the Disperser Problem

## Theorem 1.2.

For any  $\rho > 0$  there exist infinitely many  $d$  such that the  $\Omega(N)$ -level Lasserre hierarchy cannot distinguish between a graph  $G$  with right degree  $d$ , whether

True w.h.p for a random graph

1.  $G$  is an  $(pN, (1 - (1 - \rho)^d)M)$ -disperser
2.  $G$  is not an  $(pN, (1 - C_0 \cdot \frac{1-\rho}{\rho d + 1 - \rho}))$ -disperser for an universal constant  $C_0 > 0.1$

SDP objective after  $\Omega(N)$  levels of Lasserre is at most this

# Integrality Gap for the Expander Problem

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## Theorem 1.4.

For any  $\epsilon > 0$  and  $\epsilon' < \frac{e^{-2\epsilon} - (1 - 2\epsilon)}{2\epsilon}$ , there exist  $\rho$  and  $D$  such that  $\Omega(N)$ -level Lasserre hierarchy cannot distinguish, for a bipartite graph  $G$  with left degree  $D$ , whether

1.  $G$  is an  $(\rho N, (1 - \epsilon')D)$ -expander
2.  $G$  is not an  $(\rho N, (1 - \epsilon)D)$ -expander

# Integrality Gap for the Expander Problem

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## Theorem 1.4.

For any  $\epsilon > 0$  and  $\epsilon' < \frac{e^{-2\epsilon} - (1-2\epsilon)}{2}$ , there exist  $\rho$  and  $D$  such that  $\Omega(N)$ -level Lasserre hierarchy True w.h.p for a random graph te graph  $G$  with left degree  $D$ , whether

1.  $G$  is an  $(\rho N, (1 - \epsilon')D)$ -expander
2.  $G$  is not an  $(\rho N, (1 - \epsilon)D)$ -expander

SDP objective after  $\Omega(N)$  levels of Lasserre is at most  $(1 - \epsilon)D \cdot \rho N$

# Integrality Gap for the Expander Problem

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## Theorem 1.4.

For any  $\epsilon > 0$  and  $\epsilon' < \frac{e^{-2\epsilon} - (1-2\epsilon)}{2\epsilon}$ , there exist  $\rho$  and  $D$  such that  $\Omega(N)$ -level Lasserre hierarchy cannot distinguish, for a bipartite graph  $G$  with left degree  $D$ , whether

1.  $G$  is an  **$(\rho N, 0.6322D)$** -expander
2.  $G$  is not an  **$(\rho N, 0.499D)$** -expander

# Smallest Neighbor Set Approximation Algorithm

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## Theorem 4.1.

Suppose the following:

- $G$  has right degree  $d$
- $(1 - \Delta)M$  is the smallest neighbor set over  $\rho N$  subsets of  $[N]$

There is a polynomial time algorithm that outputs  $T \subseteq [N]$ ,  $|T| = \rho N$  and

$$\Gamma(T) \leq \left( 1 - \Omega \left( \frac{\min\left\{\left(\frac{\rho}{1-\rho}\right)^2, 1\right\}}{\log d} \cdot d(1-\rho)^d \cdot \Delta \right) \right) M$$



# Smallest Neighbor Set Approximation Algorithm

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Algorithm approach:

1. Solve the SDP [Right] to maximize the number of unconnected vertices to T

$$\max \sum_{j \in [M]} \left\| \frac{1}{d} \sum_{i \in \Gamma(j)} \vec{v}_i \right\|_2^2$$

Subject to  $\langle \vec{v}_i, \vec{v}_i \rangle \leq 1$

$$\sum_{i=1}^n \vec{v}_i = \vec{0}$$

# Smallest Neighbor Set Approximation Algorithm

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Algorithm approach:

1. Solve the SDP [Right] to maximize the number of unconnected vertices to  $T$
2. Round the solution  $\vec{v}_i$  to  $z_i \in \{+1, -1\}$  keeping  $\sum_i z_i \approx 0$   
(Approach based on Grothendiek's inequality)
3. Round  $z_i$  to  $x_i \in \{0,1\}$

$$\max \sum_{j \in [M]} \left\| \frac{1}{d} \sum_{i \in \Gamma(j)} \vec{v}_i \right\|_2^2$$

Subject to  $\langle \vec{v}_i, \vec{v}_i \rangle \leq 1$

$$\sum_{i=1}^n \vec{v}_i = \vec{0}$$

# Disperser Problem (Hardness)

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- Given a random bipartite graph, approximate the size of subset in  $[N]$  required to hit at least 0.01 fraction of vertices in  $[M]$  as its neighbors.
- Hardness related to the Small-Set Expansion (SSE) Hypothesis:

CONJECTURE 1.1. (*Small-Set Expansion Hypothesis [37]*) For every constant  $\eta > 0$ , there exists a small  $\delta > 0$  such that given a graph  $H = (V, E)$  it is NP-hard to distinguish whether:

1. There exists a vertex set  $S$  of size  $\delta|V|$  such that the edge expansion of  $S$  is at most  $\eta$ .
2. Every vertex sets  $S$  of size  $\delta|V|$  has edge expansion at least  $1 - \eta$ .

From Raghavendra and Steurer. *Graph Expansion and the Unique Games Conjecture*. STOC '10

# Small-Set Expansion Hardness

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## Theorem 1.5.

For any small constant  $\delta$  and any constant  $\Delta > 1 + \delta$ , for appropriately small  $\rho$  and large  $D$ , it is SSE-hard to distinguish

1. There exists a  $\rho N$  subset of  $[N]$  with at most  $(1 - \delta) \cdot \rho N$  neighbors
2. Every  $\rho N$  subset of  $[N]$  has at least  $\Delta \cdot \rho N$  neighbors

# Conclusion

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1. Glossed over the details
2. Several approximation algorithms not mentioned here

## Full citation:

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